

## Holding Multiple Perspectives: Assessment and Treatment in the Context of Culture, Autism, and Trauma in Young Children

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Early Childhood Evaluation Program



Infant Mental Health  
Child-Parent Psychotherapy Program

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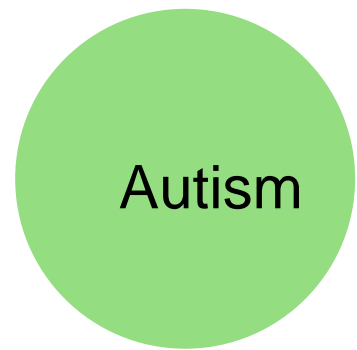
## Objectives/ Learning Goals

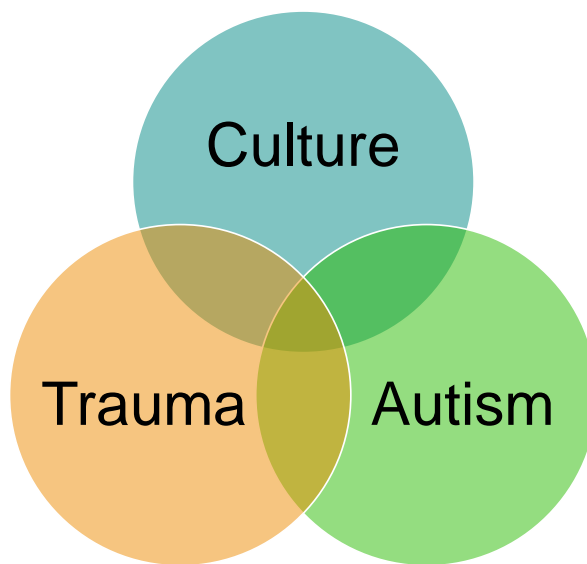


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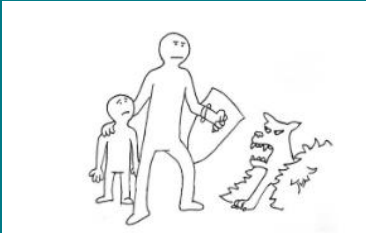
- Describe 3 major factors contributing to the complex interplay of autism, culture, and trauma, and how these inform approaches to working with families.
- Identify at least 2 specific mental health needs of traumatized young children with autism.
- Describe an Evidence-Based treatment approach to mental health care for this population through a case study.







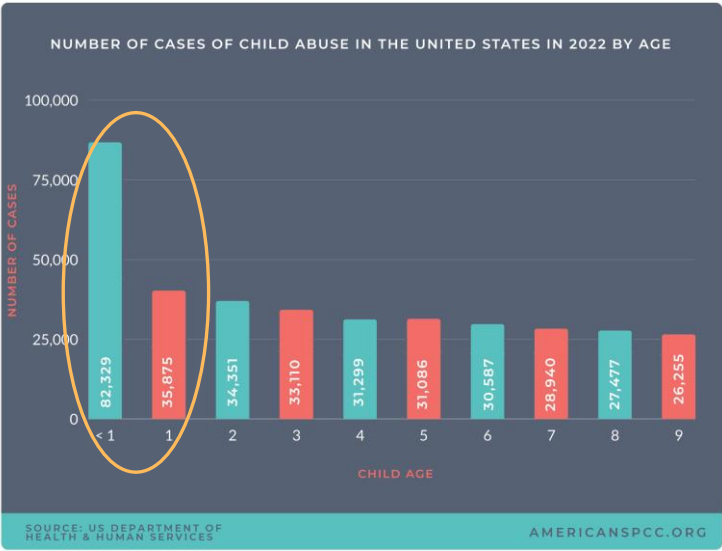
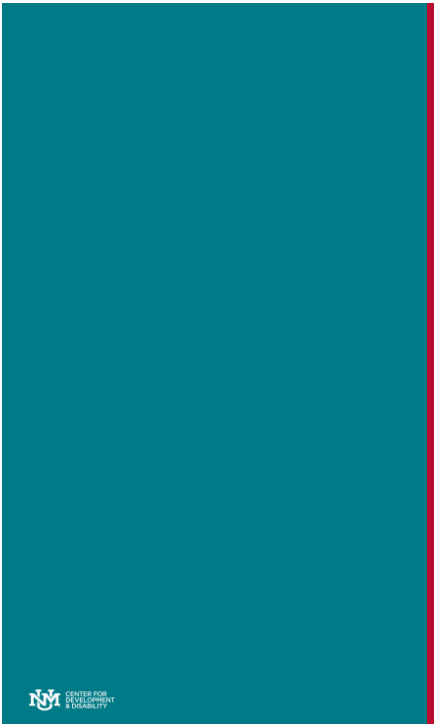
## Understanding Early Childhood Trauma: Caregivers as the “Protective Shield”



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- More than a quarter (27%) of child maltreatment victims are under 2 years old.
- Early exposure to trauma (ACES) linked to later chronic developmental, physical, or behavioral health disorders.
- Trauma experienced within context of primary caregiving relationship is most detrimental (Osofsky, 1995).
  - Impacts developmentally-appropriate belief that parent can provide safety.

<https://www.nationalchildrensalliance.org/media-room/national-statistics-on-child-abuse/>



# What Qualifies as a Traumatic Event?

- A “traumatic event is a frightening, dangerous, or violent event experienced or witnessed that is threatening to life or body integrity.”
- A traumatic event is defined by
  - Unpredictability
  - Horror
  - Helplessness
- That overwhelm the capacity to cope
- Trauma is pervasive but usually overlooked
- Perception of what is traumatic is shaped by competencies/resources



# Systemic and Contextual Trauma: Current Challenges



- Communities facing increased structural discrimination, oppression, and barriers:
  - Disparities in access to treatment services, increasingly problematic as policies change day to day (e.g., Medical Deferred Action policy discontinued)
  - Families avoiding healthcare and evaluations due to immigration status
  - Funding changes, fear of losing access to insurance or Medicaid
  - Ongoing violence perpetrated on the community (e.g., government separation of immigrant children from their parents at the border)
  - Increasing ICE raids and unclear legal policies across different states
  - Provider fear, lack of efficacy/empowerment, and burnout
  - Talk of “registry” and increased concern about impact of an autism diagnosis; pejorative language (e.g., epidemic, cure, disease)
- **All in the background/foreground during clinical encounters with clients (for ourselves and for clients)**

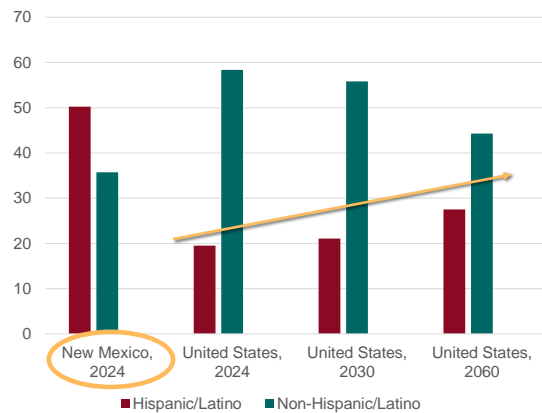
## Approach to Understanding Cultural Context

- Latinx/Hispanic population is growing in the US and in New Mexico

Data from US Census Bureau; NM State DWS



- Importance of **intersectionality**, or bringing together multiple identities in varied configuration (Crenshaw, 1989).
- Culturally responsive care requires a **cultural humility** approach of ongoing learning (vs. *cultural competence* with an endpoint of something fully learned).



## Latine/Hispanics and Trauma

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- Higher PTSD prevalence in Hispanic groups, as compared to Caucasians (Hall-Clark et al., 2016).
- Hispanics report higher levels of interpersonal violence (e.g., being a child witness or adult victim of DV, experiencing child abuse) than Caucasians (Alegria, 2013).
- Hispanics underreport psychological symptoms, experience more somatic symptoms (Marshall & Orlando, 2002).
- Hispanics show differences in response during and right after trauma (Pole et al, 2015).
  - Higher rates of avoidance and numbing, serves to maintain PTSD symptoms.

# Culture and Treatment Adaptation: Fidelity vs. Fit

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Treatment outcomes improve with:

- Use of Evidence Based treatments
- Treatments that align with cultural norms, values, and beliefs of participants

How do we balance *fidelity* and *fit*?

- Goal is appropriate flexibility to achieve fit→
  - Dilemma is how much can we flex treatment for cultural fit AND maintain beneficial outcomes?



(Garcia & Eiff, 2021)

## Understanding Autism



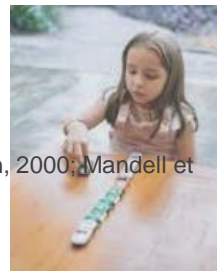
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- In 2018, CDC reported 1 in 44 children in US dx with ASD.
  - 1 in 27 boys
  - 1 in 116 girls
- **In 2020, CDC reported 1 in 36 children in US dx with ASD.**
- Improving in identifying autism earlier
  - in 2020, children born in 2016 (4-year-olds) were **1.6 times** as likely as children born in 2012 (8-year-olds) to be identified as having ASD by 48 months of age.
- Boys are nearly 4 times as likely to be identified as girls
- **Approximately 1/3** of children with ASD also had intellectual disability
- In 2020, Black, Hispanic, and Asian or Pacific Islander children had a **higher percentage of ASD** than White children for the **first time**.

## Understanding Autism and Culture

- 1 in 36 children in US dx with ASD (CDC, 2020).
- Recent improvement in identifying autism earlier BUT **Spanish speaking families diagnosed with ASD 2.5 years later.**
- In 2020, Hispanic and other BIPOC children had **higher rates of ASD** for the **first time.**
- Children with autism AND children of color are at increased risk for maltreatment and barriers to appropriate services.

Crosse, Kaye, & Ratnofsky, 1993; Sullivan & Knutson, 2000; Mandell et al., 2005).

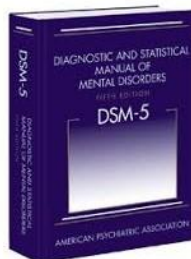


# *DSM-5* Criteria for **Autism Spectrum Disorder**

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**A. Persistent deficits in social communication and social interaction across multiple contexts**

**B. Restricted, repetitive patterns of behavior, interests, or activities**



## Increased Risk for Trauma

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### Studies on incidence of maltreatment/trauma and Autism:

- Incidence of maltreatment was **1.7 times higher for children with disabilities** than without disabilities (Crosse, Kaye, & Ratnofsky, 1993)
- School-based data showed 9% maltreatment prevalence rate for children without disabilities and **31% for children with disability diagnoses** (Sullivan & Knutson, 2000)
- Children with disabilities tend to be maltreated at younger ages (Sullivan & Knutson, 2000)

## Increased Risk for Abuse and Neglect

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- **1 in 5 children** treated at community mental health settings for Autism/ASD had experienced **physical abuse** (Mandell et al., 2005)
- **1 in 6 children** experienced **sexual abuse** (Mandell et al., 2005)
- Disability may place child at higher risk for abuse/neglect OR maltreatment may cause or exacerbate disability

## Why Higher Risk?

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### CHILD RISK FACTORS

High levels of dependence  
Communication problems  
Delayed skill development  
Difficult to read attachment signals  
Difficult to read emotional cues



### PARENTAL RISK FACTORS

Psychosocial stressors  
Difficulty reading cues  
Difficulty reflecting on meaning of child's behavior  
Own history of trauma, rejection, harsh discipline  
Heightened sensitivity to child distress  
Cultural barriers

# Breakout Groups

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## For Providers

Think about the multiple identities of families or individuals with whom you work.

- How can these create barriers (or opportunities)?

In what ways can we create safety for individuals with multiple intersecting identities that bring discrimination or barriers?

## For Families and Individuals

Think about your multiple identities, or those of people you know.

- How can these create barriers (or opportunities)?

In what ways have providers created safety for you around identities that can create barriers? Are there ways they can do this better?

## Case Discussion



## “Mateo” and “Maria”

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- Child age: 3 years at evaluation; 3-4 years during treatment
- Language: Monolingual Spanish-speaking
- Ethnicity: Hispanic/Mexican
- Household composition – Mateo, Maria and two older sisters (5 & 10 years old)
- Referred for developmental/autism evaluation, by early intervention team
- Child US citizen, mother undocumented

## Developmental Evaluation

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- **Interdisciplinary team:** Psychologist, speech therapist, social worker (all bilingual and bicultural)
- **Assessment Measures:**
  - *Developmental Abilities Scale, Second Edition (DAS-II), Spanish* – Low Average
  - Childhood Autism Rating Scale, Second Edition (CARS-2ST) – Above cut-off for autism
  - *Vineland Adaptive Behavior Scale, Third Edition* – Delayed Socialization skills
- **Diagnoses:**
  - **Autism Spectrum Disorder** and **Developmental disorder of speech and language, unspecified**
- Following autism assessment, interdisciplinary team recommended additional trauma treatment

## Approaches to working therapeutically with Hispanic families

- Appreciation of heterogeneity of culture within and between Hispanic groups
- Respecting cultural values of *Familismo*, *Simpatia*, *Respeto*, among others
- Understanding that “disease model” of ASD may not fit with how they see child
- ASD in country of origin may have different meaning and social consequences
- Appreciation of historical and current individual and systemic racism faced by the community (e.g., approaching conversations about documentation status).

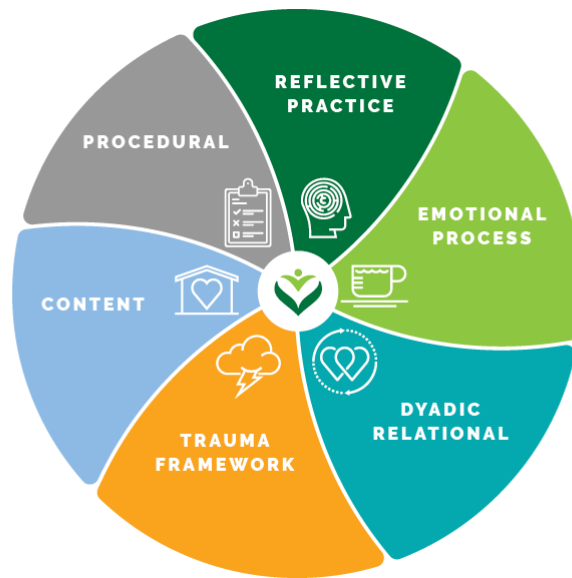
National Child Traumatic Stress Network, 2007

## Evidenced Based treatment: Child-Parent Psychotherapy (CPP)

**“The child-parent relationship remains the most parsimonious vehicle for improvement** even when the child has a constitutionally based condition such as autism.” (Gosh Ippen, Norona, & Lieberman, 2014)

- Trauma-informed, play-based, dyadic intervention for children 0-6
- Addresses trauma, mental health, attachment, behavioral problems
- Can be tailored to address needs of children with ASD and developmental differences
- Emphasis on understanding impact and role of family's cultural context

(Lieberman, Ghosh Ippen, & Van Horn, 2015)

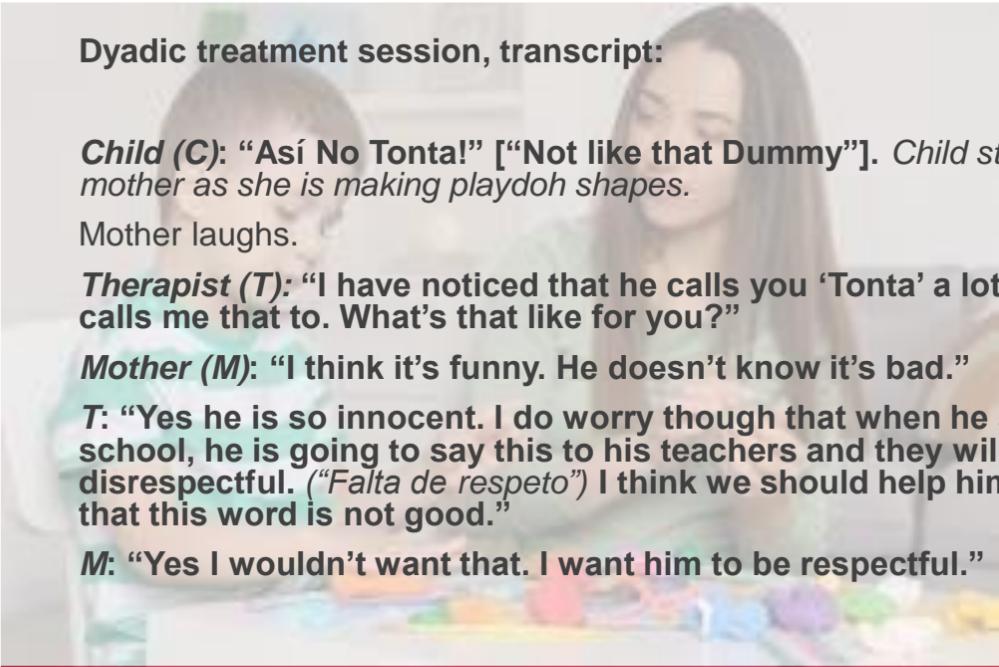


# Mental Health and Relational Challenges

Child	Mother	Relationship/dyad
<ul style="list-style-type: none"><li>• Irritability</li><li>• Difficulty accepting limits</li><li>• Frequent tantrums</li><li>• Aggression towards sibling and mother (verbal and physical)</li><li>• Separation distress</li><li>• High activity level and arousal</li><li>• Limited attention span</li><li>• Throwing toys</li></ul>	<ul style="list-style-type: none"><li>• Experienced ASD diagnosis as traumatic</li><li>• Overwhelmed with new service systems, child's multiple medical appts</li><li>• Difficulty reading and responding to child's cues</li><li>• Difficulty taking child's perspective</li><li>• Discipline practices tended be punitive</li><li>• Re-enacting DV dynamics with father, directed to child.</li></ul>	<ul style="list-style-type: none"><li>• Parent/child relationship at-risk given history of trauma and ASD symptoms</li><li>• Mother experienced child's presentation as difficult to manage; shared negative attributions, e.g. "<i>Enojón</i>" (angry/irritable boy)</li><li>• Dyad often interacted as peers or siblings in their ability to manage conflict (e.g., "like an old married couple")</li></ul>

# Risk and Trauma History

Mateo's Trauma History	Maria's Trauma History
<ul style="list-style-type: none"><li>• Exposure to domestic violence between mother and father (6 mo)</li><li>• Parents separated - visited father on weekends (6 mo-38 mo)</li><li>• Father deported to Mexico (39 mo)</li><li>• Environmental conditions known to create "toxic stress" (0-present)</li></ul>	<ul style="list-style-type: none"><li>• Single parent, low wage job, living below poverty line</li><li>• No family support</li><li>• Immigrated to US at age 20</li><li>• Unexpected death of father (age 26)</li><li>• Victim of domestic violence (age 27); separation from partner (age 28)</li><li>• Child received ASD diagnosis (age 30)</li><li>• Ex-partner deported to Mexico (31)</li></ul>



**Dyadic treatment session, transcript:**

**Child (C):** “Así No Tonta!” [“Not like that Dummy”]. *Child states to mother as she is making playdoh shapes.*

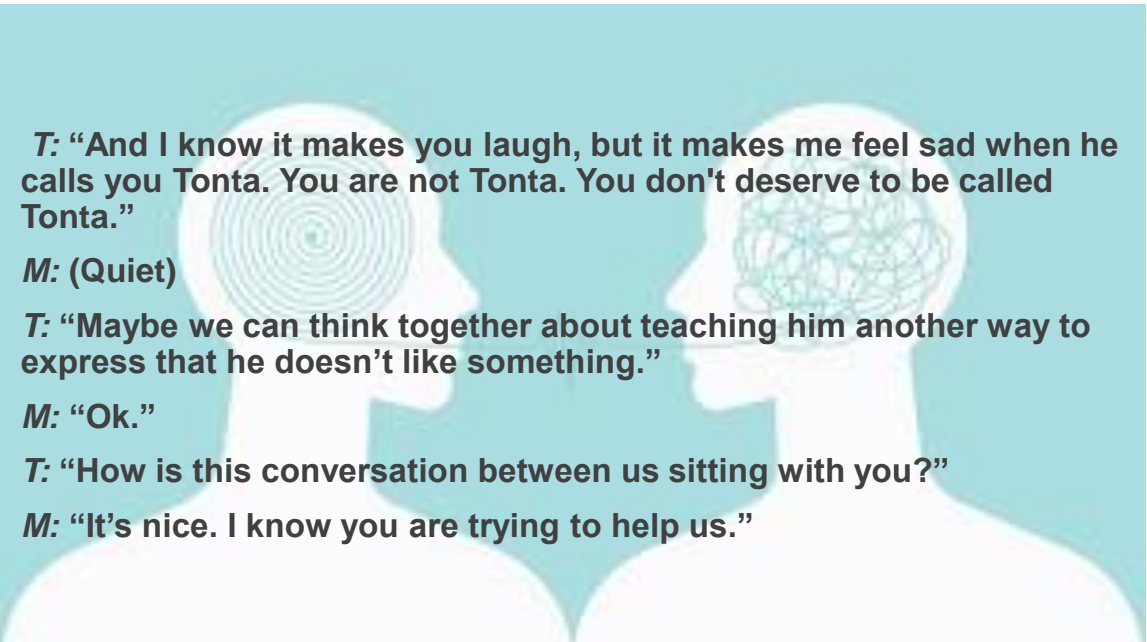
Mother laughs.

**Therapist (T):** “I have noticed that he calls you ‘Tonta’ a lot and he calls me that to. What’s that like for you?”

**Mother (M):** “I think it’s funny. He doesn’t know it’s bad.”

**T:** “Yes he is so innocent. I do worry though that when he starts school, he is going to say this to his teachers and they will think it is disrespectful. (“*Falta de respeto*”) I think we should help him learn that this word is not good.”

**M:** “Yes I wouldn’t want that. I want him to be respectful.”



**T:** “And I know it makes you laugh, but it makes me feel sad when he calls you Tonta. You are not Tonta. You don't deserve to be called Tonta.”

**M:** (Quiet)

**T:** “Maybe we can think together about teaching him another way to express that he doesn't like something.”

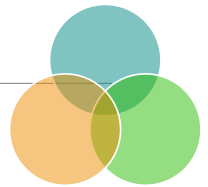
**M:** “Ok.”

**T:** “How is this conversation between us sitting with you?”

**M:** “It's nice. I know you are trying to help us.”

# Integrating Theory and Practice

- Reflective Practice– “What is that like for you?”
- Trauma Framework – Conceptualized interaction as domestic violence enactment
- Relational and anti-oppressive lens – Collaboratively, “Maybe we can think together?”
- Autism and developmental awareness – Could this be an ASD repetitive behavior (RRB)? Speech delay? Teaching replacement behavior and word
- Culturally informed practice - Incorporating value of *respeto*
- Facilitating therapeutic alliance and parental self-efficacy – “What was this conversation like for you?”
- Integration resulted in significant improvements and achievement of tx goals



# Bringing it all together- break out groups

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What stood out to you about this family?

How is this approach to therapy different (or similar) to what you have experienced/would expect?

What is one thing you want to take away from this presentation?

What is something you want to learn more about?

# Thank You

To our interdisciplinary evaluation team collaborators, Cassandra Cerros, PhD, Sylvia Sarmiento, MS, CCC-SLP

To our elders who generously shared their wisdom, Alicia Liberman, PhD, Patricia Van Horn, PhD, Chandra Gosh-Ippen, PhD, and Carmen Norona, LCSW

National CPP Spanish Clinician Consultation Group

To the *familias* who breathe life into theory



# Questions?

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<https://www.census.gov/content/dam/Census/library/publications/2020/demo/p25-1144.pdf>

NCTSN; <https://www.nctsn.org/trauma-informed-care/creating-trauma-informed-systems/healthcare>